

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/904,182

07/11/2001

Albert C. Lardo

56245

Dike, Bronstein, Roberts & Cushman

Intellectual Property Practice Group of Edwards & Angell, LLP P.O. Box 9169 Boston, MA 02209



CONFIRMATION NO. 1162 FORMALITIES LETTER *OC000000006478565*

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2118.
 - \$648 for 36 total claims over 20.
 - \$1200 for 15 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2958.

| 0/05/2001 BSAYASI1 00000056 041105 09904182 | |
|--|--|
| | |
| 1 FC:201 270:00 OP | |
| 2.FC:202: | |
| 3 FC:203 324.00 OP 7 4 FC:204 140.00 Bby of this notice <u>MUST</u> be returned with the reply. 5 FC:205 50.00 CH 15.00 OP 7 | |
| 5 FC:205 50.00 CH 15.00 W 50 mis nonce M1051 | |

Customer Service Center

Initial Patent Examination Division (703) 308-1202





Receiptfff
56245 (71499)#2

Attorney Docket No. 49783(1999)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

A. Lardo

U.S.S.N.:

09/904,182

ART UNIT:

Unknown

FILED:

July 11, 2001

EXAMINER:

Unknown

FOR:

APPLICATION OF PHOTOCHEMOTHERAPY FOR THE TREATMENT

OF CARDIAC ARRHYTHMIAS

OF CARDIAC ARREIT ITIMIAS

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on 10 June 1, 2001

By: Annemarie Serrecchia

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

The following is in response to the Notice to File Missing Parts - Filing Date Granted mailed August 27, 2001, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

- 1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
- 2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.

A. Lardo

U.S.S.N.: 09/904,182

Page 2

- 3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.
- 4. Assignment and Recordation Form Cover Sheet.

Completion of application filing fees as calculated below:

| 1. | Surcharge for late payment of filing fee and/or late filing of original declaration or | | |
|----|--|------------------|--|
| | oath | \$ 65.00 | |
| 2. | The statutory basic filing fee | \$ 355.00 | |
| 3. | 15 Independent claim over 3 | \$ 600.00 | |
| 4. | 36 total claims over 20 | \$ 324.00 | |
| 5. | Multiple dependent claims | <u>\$ 135.00</u> | |
| | TOTAL | \$ 1479.00 | |

Applicant notes that Assignee, Johns Hopkins University, is a <u>small entity</u>. Namely, Johns Hopkins University is a non-profit organization – A University or Other Institution of Higher Education.

Enclosed herewith is a check for \$ 1479.00 in payment of the total fee due, along with a check in the amount of \$40.00 for recording the enclosed Assignment.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

If for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

A. Lardo

U.S.S.N.: 09/904,182

Page 3

Respectfully submitted,

Lisa Swiszcz Hazzard (Reg. No. 44,368)
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
Intellectual Property Practice Group of
EDWARDS & ANGELL, LLP

P.O. Box 9169

Boston, MA 02209

Tel 617-439-4444

Fax 617-439-4170

178811